# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **FORM 10-Q/A**

Amendment No. 1

	<del></del>				
(Mark One)					
*	Y REPORT PURSUANT T	O SECTION 13 OR 15(d)	OF THE SECURITIES EXCHANGE A	CT OF	
	For the c	quarterly period ended Jul	ly 3, 2022		
☐ TRANSITIO	N REPORT UNDER SECT	TON 13 OR 15(d) OF THI	E SECURITIES EXCHANGE ACT OF	1934	
		nsition period from to Commission File Number: 001-3225			
	(Exact n	EnerSys ame of registrant as specified in its	charter)		
	Delaware State or other jurisdiction of acceptoration or organization)		23-3058564 (I.R.S. Employer Identification No.)		
	(Add	2366 Bernville Road Reading, Pennsylvania 19605 ress of principal executive offices) (Zip ephone number, including area cod	Code)		
Securities registered pursu	ant to Section 12(b) of the Act:				
	of each class 60.01 par value per share	Trading Symbol ENS	Name of each exchange on which registered New York Stock Exchange		
			or 15(d) of the Securities Exchange Act of 1934 during then subject to such filing requirements for the past 90	e precedin	
	05 of Regulation S-T (§232.405 of this		eb site, if any, every Interactive Data File required to be onths (or for such shorter period that the registrant was r		
			erated filer, a smaller reporting company or an emerging," and "emerging growth company" in Rule 12b-2 of the		
Large Accelerated Filer	$\boxtimes$		Accelerated filer		
Non-accelerated filer			Smaller reporting company		
			Emerging growth company		
	ny, indicate by check mark if the regis s provided pursuant to Section 13(a) of		ded transition period for complying with any new or revi	sed	
Indicate by check mark wheth	ner the registrant is a shell company (as	s defined in Rule 12b-2 of the Securit	ties Exchange Act of 1934).   Yes  No.		
	Common Stock	k outstanding at August 5, 2022: 40	,658,246 shares		

## **Explanatory Note**

This Amendment No. 1 to the Quarterly Report on Form 10-Q of EnerSys for the quarterly period ended July 3, 2022, originally filed on August 10, 2022 (the "Original Filing"), is being filed solely to correct an error in the maximum number of shares of common stock that may be purchased under the plan or programs in Part II, Item 2. Unregistered Sales of Equity Securities and Use of Proceeds.

Pursuant to Rule 12b-15 under the Securities Exchange Act of 1934, as amended, this Form 10-Q/A also contains new certifications pursuant to Section 302 of the Sarbanes-Oxley Act of 2002, which are attached hereto. Because no financial statements have been included in this Form 10-Q/A and this Form 10-Q/A does not contain or amend any disclosure with respect to Items 307 and 308 of Regulation S-K, paragraphs 3, 4, and 5 of the certifications have been omitted.

Except as described above, no other changes have been made to the Original Filing, and this Form 10-Q/A does not modify, amend or update in any way any of the financial or other information contained in the Original Filing. This Form 10-Q/A does not reflect events that may have occurred subsequent to the filing date of the Original Filing.

#### PART II OTHER INFORMATION

#### Item 2. Unregistered Sales of Equity Securities and Use of Proceeds

#### Purchases of Equity Securities by the Issuer and Affiliated Purchasers

The following table summarizes the number of shares of common stock we purchased from participants in our equity incentive plans, as well as repurchases of common stock authorized by the Board of Directors. As provided by the Company's equity incentive plans, (a) vested options outstanding may be exercised through surrender to the Company of option shares or vested options outstanding under the Company's equity incentive plans to satisfy the applicable aggregate exercise price (and any withholding tax) required to be paid upon such exercise and (b) the withholding tax requirements related to the vesting and settlement of restricted stock units and market and performance condition-based share units may be satisfied by the surrender of shares of the Company's common stock.

#### **Purchases of Equity Securities**

Period	(a) Total number of shares (or units) purchased	(b) Average price paid per share (or unit)	(c) Total number of shares (or units) purchased as part of publicly announced plans or programs	(d) Maximum number (or approximate dollar value) of shares (or units) that may be purchased under the plans or programs (1) (2)
April 1 – May 1, 2022	39,576	\$ 73.45	39,576	\$ 180,545,374
May 2 – May 29, 2022	328,846	62.72	318,789	160,545,418
May 30 – July 3, 2022	2,079	68.58	_	160,545,418
Total	370,501	\$ 63.90	358,365	

<sup>(1)</sup> The Company's Board of Directors has authorized the Company to repurchase up to such number of shares as shall equal the dilutive effects of any equity based award granted during such fiscal year under the 2017 Equity Incentive Plan and the number of shares exercised through stock option awards during such fiscal year.

<sup>(2)</sup> On March 9, 2022, the Company announced the establishment of a \$150.0 million stock repurchase authorization, with no expiration date.

### ITEM 6. EXHIBITS

The following is a list of all exhibits filed as a part of this Quarterly Report on Form 10-Q/A.

Exhibit Number	Description of Exhibit
31.1	Certification of Principal Executive Officer pursuant to Rule 13a-14(a)/15d-14(a) Under the Securities Exchange Act of 1934, as amended.
31.2	Certification of Principal Financial Officer pursuant to Rule 13a-14(a)/15d-14(a) Under the Securities Exchange Act of 1934, as amended.
101.INS	XBRL Instance Document - The instance document does not appear in the interactive data file because its XBRL tags are embedded within the inline XBRL document.
101.SCH	XBRL Taxonomy Extension Schema Document
101.CAL	XBRL Taxonomy Extension Calculation Linkbase Document
101.DEF	XBRL Taxonomy Extension Definition Linkbase Document
101.LAB	XBRL Taxonomy Extension Label Linkbase Document
101.PRE	XBRL Taxonomy Extension Presentation Linkbase Document

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

ENERSYS (Registrant)

By /s/ Andrea J. Funk

Andrea J. Funk Chief Financial Officer

Date: August 11, 2022

# Certification of Principal Executive Officer Pursuant To Rule 13a-14(a)/15d-14(a) Under the Securities Exchange Act Of 1934

- I, David M. Shaffer, certify that:
  - 1. I have reviewed this Amendment No. 1 to the Quarterly Report on Form 10-Q of EnerSys; and
  - 2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report.

By /s/ David M. Shaffer

David M. Shaffer Chief Executive Officer

Date: August 11, 2022

#### Certification of Principal Financial Officer Pursuant To Rule 13a-14(a)/15d-14(a) Under the Securities Exchange Act Of 1934

#### I, Andrea J. Funk, certify that:

- 1. I have reviewed this Amendment No. 1 to the Quarterly Report on Form 10-Q of EnerSys; and
- 2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report.

By /s/ Andrea J. Funk

Andrea J. Funk Chief Financial Officer

Date: August 11, 2022