SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

(State)

(Zip)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287
Estimated average I	ourden
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Person

	Instruction 1(b).		Filed	J pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	Ļ	l		
1. Name and Address of Reporting Person* <u>TUFANO PAUL J</u>				2. Issuer Name and Ticker or Trading Symbol EnerSys [ENS]		tionship of F all applicab Director		on(s) to Issuer 10% Owner
	(Last) C/O ENERSYS		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/25/2021		Officer (giv below)	ve title	Other (specify below)
	2366 BERNVII (Street) READING	PA	19605	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed	by One Repor	0
						Form filed	by wore than	One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Tak I a		Warve Securities Acquired, Disposed of, of Denenciary Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	06/25/2021		A		33.8579 ⁽¹⁾	A	\$0.00	27,983.4639	D			
Common Stock	06/25/2021		A		15.9119(2)	A	\$0.00	27,999.3757	D			
Common Stock	06/25/2021		A		0.036 ⁽³⁾	A	\$0.00	27,999.4118	D			
Common Stock	06/25/2021		A		0.0683(4)	A	\$0.00	27,999.4801	D			
Common Stock	06/25/2021		A		0.0789 ⁽⁵⁾	A	\$0.00	27,999.559	D			
Common Stock	06/25/2021		A		0.0662(6)	A	\$0.00	27,999.6252	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

										-											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4				Expiration Date (Month/Day/Year) d		Expiration Date (Month/Day/Year) S		nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares										

Explanation of Responses:

1. These shares were granted in the form of Deferred Stock Units ("DSUs"), in connection with the cash dividend paid on June 25, 2021 to stockholders of record as of June 11, 2021 (the "Dividend"), with respect to 18,321 vested DSUs granted to the reporting person on various dates, and adjusted for previously declared and paid cash dividends. These DSUs are vested and payable concurrent with the underlying DSUs.

2. These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to vested RSUs granted to the reporting person on various dates under the EnerSys Deferred Compensation Plan for Non-Employee Directors (the "Plan"), and adjusted for previously declared and paid cash dividends. These RSUs are vested and payable concurrent with the underlying RSUs.

3. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on July 15, 2020, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

4. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on October 20, 2020, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

5. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on January 13, 2021. These RSUs are vested and payable concurrent with the underlying RSUs.

6. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on April 12, 2021, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

Remarks:

Karen J. Yodis, by Power of Attorney

06/29/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(City)