| SEC Form 4 |  |
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## FORM 4

| UNITED STATES | SECURITIES AND  | EXCHANGE | COMMISSION |
|---------------|-----------------|----------|------------|
|               | Washington D.C. | 20549    |            |

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |
|--------------------------|-----------|--|--|--|--|
| Estimated average burden |           |  |  |  |  |
| hours per response       | : 0.5     |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>HOFFEN HOWARD I |                            |            | 2. Issuer Name and Ticker or Trading Symbol <u>EnerSys</u> [ ENS ]   | 5. Relationship of Reporting Person(s) to Issu<br>(Check all applicable) |   |                       |  |  |
|---|----------------------------|------------|--|--|---|-----------------------|--|--|
| (Last)  | (First)                    | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/28/2024   |  | Officer (give title below)  | Other (specify below) |  |  |
|   | ARK CAPITAL<br>OF THE AMER | ICAS, 40TH | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Indiv<br>Line)  | vidual or Joint/Group Filing<br>Form filed by One Repo<br>Form filed by More thar<br>Person | orting Person         |  |  |
| NEW YORK  | NY                         | 10036      | Rule 10b5-1(c) Transaction Indication  |  |   |                       |  |  |
| (City)  | (State)                    | (Zip)      | Check this box to indicate that a transaction was made pursuant t<br>satisfy the affirmative defense conditions of Rule 10b5-1(c). See I |  |   | that is intended to   |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date Execution Date, 1<br>(Month/Day/Year) if any 0 |            | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|---|------------|-----------------------------|---|---|---------------|--------|---|---|---|
|                                 |   |            | Code                        | v | Amount  | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock                    | 06/28/2024  | 06/28/2024 | A                           |   | 97.3838(1)  | A             | \$0.00 | 47,789.0554   | D   |   |
| Common Stock                    | 06/28/2024  | 06/28/2024 | A                           |   | 6.1102(2)   | Α             | \$0.00 | 47,795.1656   | D   |   |
| Common Stock                    | 06/28/2024  | 06/28/2024 | A                           |   | 0.0219(3)   | Α             | \$0.00 | 47,795.1875   | D   |   |
| Common Stock                    | 06/28/2024  | 06/28/2024 | A                           |   | 0.0546 <sup>(4)</sup>   | Α             | \$0.00 | 47,795.2421   | D   |   |
| Common Stock                    | 06/28/2024  | 06/28/2024 | A                           |   | 0.0871(5)   | A             | \$0.00 | 47,795.3292(6)  | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo<br>of (D<br>(Instr<br>and 5 | rative<br>rities<br>ired<br>r<br>osed | Expiration Date<br>(Month/Day/Year) |                    | xpiration Date Amount of<br>Month/Day/Year) Securities<br>Underlying<br>Derivative |  | Amount of Derivative<br>Securities Security<br>Underlying (Instr. 5)<br>Derivative<br>Security (Instr. |  | Derivative<br>Security | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|---------------------------------------|-------------------------------------|--------------------|--|--|--|--|------------------------|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)                                   | Date<br>Exercisable                 | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |  |  |                        |  |  |  |

Explanation of Responses:

1. These shares were granted in the form of Deferred Stock Units ("DSUs"), in connection with the cash dividend paid on June 28, 2024, to stockholders of record as of June 14, 2024 (the "Dividend"), with respect to 41,771 vested DSUs granted to the reporting person on various dates and adjusted for previously declared and paid cash dividends. These DSUs are vested and payable concurrent with the underlying DSUs.

2. These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to vested RSUs granted to the reporting person on various dates under the EnerSys Deferred Compensation Plan for Non-Employee Directors (the "Plan"), and adjusted for previously declared and paid cash dividends. These RSUs are vested and payable concurrent with the underlying RSUs.

3. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on July 19, 2023, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

4. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on October 20, 2023, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

5. These shares were granted in the form of RSUs, in connection with the Dividend, with respect to unvested RSUs granted to the reporting person on January 16, 2024, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

6. The reporting person has no direct pecuniary interest in such shares and disclaims beneficial ownership except to the extent ultimately realized.

**Remarks:** 

| Karen J. Yodis, by Power of | 07/02/2024 |
|-----------------------------|------------|
| <u>Attorney</u>             | 07/02/2024 |

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.