SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 |
|--------------------|-----------|
| Estimated average | burden |
| hours per response | : 0.5 |

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | |
|--|--|--|
|--|--|--|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] CHUNG HWAN-YOON | | | 2. Issuer Name and Ticker or Trading Symbol <u>EnerSys</u> [ENS] | | ationship of Reporting Pers (all applicable) Director | son(s) to Issuer 10% Owner | | |
|---|---------|----------------------|--|------------------------|--|-------------------------------|--|--|
| (Last) (First) (Middle) | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/11/2023 | | Officer (give title below) | Other (specify below) | | |
| C/O ENERSYS 2366 BERNVILLE ROAD | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | , | | | |
| (Street) READING | РА | 19605 | | | Form filed by More than Person | o One Reporting | | |
| , | | | Rule 10b5-1(c) Transaction Indication | | | | | |
| (City) | (State) | (Zip) | Check this box to indicate that a transaction was made pursuant t satisfy the affirmative defense conditions of Rule 10b5-1(c). See I | | | that is intended to | | |
| | • | Table I - Non-Deriva | tive Securities Acquired, Disposed of, or Bene | ficially | v Owned | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | | | | 5. Amount of Securities Beneficially Owned Following Reported | | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|-----------------------------|---|--------|---------------|--------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock ⁽¹⁾ | 08/11/2023 | | A | | 2,017 | Α | \$0.00 | 39,562.3645 ⁽²⁾ | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (3,1 | , | , | | , | • • | | | | , | | | |
|---|---|--|---|------------------------------|---|---|-----|---------------------|--------------------|-------|---|---|----------------------------------|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | on Date Amount of | | | mount of ecurities nderlying erivative ecurity (Instr. 5) | | Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. These shares were granted as deferred stock units and vest upon grant. These deferred stock units are payable no earlier than six months following a termination of service as a director of the Company, at the director's election, with the right of the Company to clawback the value of the deferred stock unit within one year following a termination of service upon the occurrence of certain events.

2. The reporting person has no direct pecuniary interest in 4,931.2128 shares and disclaims beneficial ownership except to the extent ultimately realized.

Remarks:

Karen J. Yodis, by Power of Attorney ** Signature of Reporting Person

08/15/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.