## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287

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|   | Estimated average burde | en        |
|   | hours per response:     | 0.5       |
|   |                         |           |

| 1. Name and Address of Reporting Person*                      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>EnerSys</u> [ENS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                 |  |  |  |  |  |
|---|---|---|--|--|--|--|--|
| <u>Vargo Ronald P</u>   |   | X Director 10% Owner  |  |  |  |  |  |
| (Last) (First) (Middle)<br>C/O ENERSYS<br>2366 BERNVILLE ROAD | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/16/2018          | Officer (give title Other (specify below) below)  |  |  |  |  |  |
| 2300 BERINVILLE ROAD  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                | 6. Individual or Joint/Group Filing (Check Applicable Line)                             |  |  |  |  |  |
| (Street)<br>READING PA 19605                                  |   | X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |  |  |  |
| (City) (State) (Zip)  |   |   |  |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |   |   |                   |               |         |   |   |   |  |
|--|--|---|---|---|-------------------|---------------|---------|---|---|---|--|
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   |                   |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |  |   | Code                                    | v | Amount            | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150.4)  |  |
| Common Stock   | 01/16/2018                                 |   | A                                       |   | 287(1)            | A             | \$75.76 | 3,445.3054  | D   |   |  |
| Common Stock   | 01/16/2018                                 |   | A                                       |   | 57 <sup>(2)</sup> | A             | \$0.00  | 3,502.3054 <sup>(3)</sup>   | D   |   |  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

#### Explanation of Responses:

1. In lieu of receiving cash fees, the reporting person received 287 stock units, which immediately vested, in the EnerSys Voluntary Deferred Compensation Plan for Non-Employee Directors (the "Plan"). 2. This amount reflects a matching stock unit contribution by EnerSys for the reporting person's account in the Plan. The matching stock unit contribution vests 25% on each of April 16, 2018, July 16, 2018, October 16, 2018, and January 16, 2019. Such vesting is subject to acceleration or cancellation upon the occurrence of certain events.

3. As a result of these transactions the reporting person has an additional 344 stock units in the Plan. Each of these stock units represents a right to receive one share of EnerSys common stock and is payable upon the reporting person's Termination, as defined in the Plan.

### **Remarks:**

Karen J. Yodis, by Power of Attorney

01/18/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.